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3			Applic	ation Number	10/080,529			
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Filing Date		February 21, 2002			
			First N	lamed Inventor	STRAUSS, H. WILLIAM			
			Group	Art Unit	Unassigned			
			Examiner Name		Unassigned			
Total Number of Pages in This Submission			Attorne	ey Docket Number	020039-001510US			
		ENCL	SURES	(check all that apply)				
⊠ F T			ment Pap Application	pers)	After Allowance Communication to Group			
Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition	1		Proprietary Information			
Affidavits/declaration(s)		Petition to Convert to a Provisional Application			Status Letter			
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):			
Express Abandonment Request			al Disclai		Copy of Notice to File Missing Parts; Declaration; Power of Attorney; Statement			
Information Disclosure Statement		CD, Number of CD(s)			Under 37 CFR 3.73(b); and Return Postcard			
Certified Copy of Priority Document(s)				The Commissioner is a Deposit Account 20-14	authorized to charge any additional fees to 30.			
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53		•						
	SIGNA	TURE OF	APPLIC	ANT. ATTORNEY. O	R AGENT			
Firm	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP							
and Individual name	Craig P. Wong			Reg. No	. 45,231			
Signature	Crana	P. Wo	m					
Date	October 22, 2002							
		CE	RTIFICA	TE OF MAILING				
I becales contifue that the	is sorrospondonos i	a baina dana	cited with	the United States Posts	al Service with sufficient postage as first			

class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 2023 Lon this date:

October 22, 2002

October 22, 2002

Date

Kimberly Badiei Typed or printed name

Bodui Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3259060 v1

PTO/SB/17 (11-01)
Approved for through 10/31/2002. OMB 0651-0032
Patent and Trademark Cocce U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TO ANOMITY AT	Complete if Known				
FEE TRANSMITTAL	Application Number	10/080,529			
for FY 2002	Filing Date	February 21, 2002 STRAUSS, H. WILLIAM			
Patent fees are subject to annual revision.	First Named Inventor				
pplicant claims small entity status. See 37 CFR 1.27	Examiner Name	Unassigned			
	Group Art Unit	Unassigned			

⊠ A

Name (Print/Type)

Signature

Craig P. Wong

TOTAL AMOUNT OF PAYMENT (\$) 1978		Attorney Docket No.		020039-001510US		/				
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)								
Check Credit Card MoneyOrder Other None	3. A	3. ADDITIONAL FEES								
Deposit Account:	Larg	e Entity	Small	Entity						
	Fee	Fee	Fee	Fee	Fee Description	Fee				
Deposit Account 20-1430	Code	***	Code	(\$)		Paid				
Number	105	130	205	65	Surcharge - late filing fee or oath	65				
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.					
Deposit Townsond and Crow LLB	139	130	139	130	Non-English specification					
Account Townsend and Townsend and Crew LLP Name	147	2,520	147	2,520	For filing a request for reexamination					
The Commissioner is authorized to: (check all that apply)	112	920*	112	920*	Requesting publication of SIR prior to					
Charge fee(s) indicated below Credit any overpayments					Examiner action					
Charge any additional fee(s) during the pendency of this application	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
	115	110	215	55	Extension for reply within first month					
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	116	400	216	200	Extension for reply within second					
FEE CALCULATION	┨‴	400	10	200	month					
	117	920	217	460	Extension for reply within third month					
1. BASIC FILING FEE Large Entity Small Entity	118	1,440	218	720	Extension for reply within fourth month					
Fee Fee Fee Fee Description	128	1,960	228	980	Extension for reply within fifth month	980				
Code (\$) Code (\$) Fee Paid	119	320	219	160	Notice of Appeal					
101 740 201 370 Utility filing fee 370	120	320	220	160	Filing a brief in support of an appeal					
106 330 206 165 Design filing fee	121	280	221	140	Request for oral hearing					
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding					
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable					
	141	1,280	241	640	Petition to revive – unintentional					
SUBTOTAL (1) (\$)370	142	1,280	242	640	Utility issue fee (or reissue)					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	143	460	243	230	Design issue fee					
	144	620	244	310	Plant issue fee					
Fees from Extra Claims below Fee Paid	122	130	122	130	Petitions to the Commissioner					
Total Claims 67 -20** = 47	123	50	123	50	Petitions related to provisional applications					
Independent Claims 3 -3** = 0 X\$42 = \$0	126	180	126	180	Submission of Information Disclosure Stmt					
Multiple Dependent1 X = \$140	581	40	581	40	Recording each patent assignment per property (times number of properties)					
Large Entity Small Entity	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))					
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))					
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	179	740	279	370	Request for Continued Examination					
104 280 204 140 Multiple dependent claim, if not paid		000	400	000	(RCE)	-				
109 84 209 42 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Oth	Other fee (specify)								
SUBTOTAL (2) (\$)563	·Re	duced by Bar	sic Filine	Fee Pa	id SUBTOTAL (3) (\$)1045					
**or number previously paid, if greater; For Reissues, see above			,							
or number pretiously paid, it greater, it or treasures, see above				_						
Complete (if applicable)										

Date October 22, 2002 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Registration No. (Attorney/Agent)

45,231

650-326-2400

Telephone



United States Patent and Tradiemark Office

Page 1 of 1 SIONER FOR PATENTS

AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/080,529

02/21/2002

H. William Strauss

020039-001510US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 8654 **FORMALITIES LETTER** *OC000000007721247*

Date Mailed: 03/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$563.
 - \$423 for 47 total claims over 20.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 998.

A copy of this notice MUST be returned with the reply.

Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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